

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shinichiro KOTO, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: DIGITAL WATERMARK EMBEDDING APPARATUS AND DIGITAL WATERMARK DETECTING APPARATUS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |            | ATTY DOCKET NO.<br>242050US2SRD |                 | SERIAL NO.<br>New Application                                    |                            |
|--|----|--|------------|---------------------------------|-----------------|--|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT  |    | APPLICANT  |            |                                 |                 |  |                            |
|  |    | Shinichiro KOTO, et al.                                    |            |                                 |                 |  |                            |
|  |    | FILING DATE<br>Herewith                                    |            | GROUP                           |                 |  |                            |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |            |                                 |                 |  |                            |
| EXAMINER INITIAL   |    | DOCUMENT NUMBER  | DATE       | NAME                            | CLASS           | SUB CLASS  | FILING DATE IF APPROPRIATE |
|  | AA | 6,175,627  | 01/16/2001 | R. PETROVIC, et al.             |                 |  |                            |
|  | AB | 6,145,081  | 11/07/2000 | J. M. WINOGRAD, et al.          |                 |  |                            |
|  | AC | 5,940,135  | 08/17/99   | R. PETROVIC, et al.             |                 |  |                            |
|  | AD |  |            |                                 |                 |  |                            |
|  | AE |  |            |                                 |                 |  |                            |
|  | AF |  |            |                                 |                 |  |                            |
|  | AG |  |            |                                 |                 |  |                            |
|  | AH |  |            |                                 |                 |  |                            |
|  | AI |  |            |                                 |                 |  |                            |
|  | AJ |  |            |                                 |                 |  |                            |
|  | AK |  |            |                                 |                 |  |                            |
|  | AL |  |            |                                 |                 |  |                            |
|  | AM |  |            |                                 |                 |  |                            |
|  | AN |  |            |                                 |                 |  |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |            |                                 |                 |  |                            |
|  |    | DOCUMENT NUMBER  | DATE       | COUNTRY                         | TRANSLATION     |  |                            |
|  | AO |  |            |                                 | YES             | NO   |                            |
|  | AP |  |            |                                 |                 |  |                            |
|  | AQ |  |            |                                 |                 |  |                            |
|  | AR |  |            |                                 |                 |  |                            |
|  | AS |  |            |                                 |                 |  |                            |
|  | AT |  |            |                                 |                 |  |                            |
|  | AU |  |            |                                 |                 |  |                            |
|  | AV |  |            |                                 |                 |  |                            |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |    |  |            |                                 |                 |  |                            |
|  | AW |  |            |                                 |                 |  |                            |
|  | AX |  |            |                                 |                 |  |                            |
|  | AY |  |            |                                 |                 |  |                            |
|  | AZ |  |            |                                 |                 | <input type="checkbox"/> Additional References sheet(s) attached |                            |
| Examiner   |    |  |            |                                 | Date Considered |  |                            |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |            |                                 |                 |  |                            |

DOCKET NO.: 242050US2SRD/rm

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

Shinichiro KOTO, et al.

SERIAL NO: New Application

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FOR: DIGITAL WATERMARK EMBEDDING APPARATUS AND DIGITAL  
WATERMARK DETECTING APPARATUS

**STATEMENT OF RELEVANCY**

**Reference AA (US 6,175,627) on Form 1449:**

This reference discloses a method for embedding and extracting watermark information. However, the method of embedding gain control is not mentioned.

**Reference AB (US 6,145,081) on Form 1449:**

This reference discloses a method for embedding watermark information. However, the method of embedding gain control is not mentioned.

**Reference AC (US 5,940,135) on Form 1449:**

This reference discloses a method for embedding watermark information. However, a feed-back embedding gain control is not mentioned.

**LIST OF RELATED CASES**

| <u>Docket Number</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Inventor/Applicant</u> |
|----------------------|--------------------------------|-----------------------------|---------------------------|
| 231078US2SRD         | 10/305,192                     | 11/27/02                    | MURATANI et al.           |
| 232260US2SRD CONT    | 10/327,072                     | 12/24/02                    | YAMAKAGE et al.           |
| 240906US2SRD         | 10/626,610                     | 07/25/03                    | ASANO et al.              |